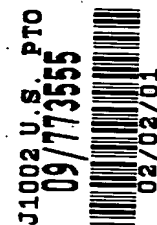


#4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Sonal Sheth AMBANI

Serial No: New U.S. Patent Application

Filed: February 2, 2001

For: SYSTEM AND METHOD FOR PROVIDING  
FINANCIAL SERVICES TO CHILDREN AND TEENAGERS

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Rule 37 C.F.R. §1.51(b), §1.56, §1.97, and §1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified is submitted herewith, except that a copy of any U.S. patent application identified herein or any patent, publication or other information listed herein cited or submitted in a prior application relied upon for an earlier filing date under 35 U.S.C. §120 and identified below, is not submitted herewith.

CERTIFICATION


X This Information Disclosure Statement is submitted within three months of (i) the filing date of the above-identified U.S. National Patent application, or (ii) the date of entry into the U.S. National Stage of the above-identified International Application, or (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies.

New U.S. Patent Application  
Sonal Sheth AMBANI  
Filed: February 2, 2001  
Page 2

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Please charge any additional fees or credit any overpayments in connection with this paper to Deposit Account No. 02-0200. A duplicate copy is enclosed for use by the Finance Office.

Respectfully submitted,

  
BENJAMIN E. URCIA  
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Date: February 2, 2001

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B/O Form PTO-1449  U.S. Department of Commerce Patent and Trademark Office  Information Disclosure Statement by Applicant	Atty. Docket Number <b>BEU/AMBANI</b>	Serial Number <b>New U.S. Patent Application</b>
	Applicant <b>Sonal Sheth AMBANI</b>	
	Filing Date <b>February 2, 2001</b>	Group <b>Not Yet Assigned</b>

 J1002 U.S. PTO  
 08/773555


## U.S. Patent Documents

Examiner Initial	Document Number	Date	Patentee/Applicant	Class	Subclass	Filing Date if Appropriate
	5,953,710	09/1999	FLEMING			
	6,029,141	02/2000	BEZOS et al.			
	6,032,957	03/2000	KIYOSAKI et al.			

## Foreign Patent Documents

Examiner Initial	Document Number	Publication Date	Country/Agency	Class	Subclass	Translation	
						Yes	No

## Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)


Examiner	Date Considered
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EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.